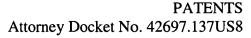
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| | | duction Act of 1995, no persons | s are required to respond to a collection Application Number | n of information unless | | vs a valid OMB control number. 06,877 | |
| TRANSMITTAL | | | Filing Date | 11/12/2003 | | | |
| l | FOF | RM | First Named Inventor | Fenteany, et al. | | | |
| 1 | (to be used for all correspo | ndence after initial filina) | Art Unit | TBA | | | |
| | (| , | Examiner Name | | ТВА | | |
| 1 | Total Number of Pages in T | his Submission | Attorney Docket Number | 42697.137US8 | | | |
| | ENCLOSURES (Check all that apply) | | | | | | |
| | | eclaration(s) Request ure Statement riority | Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addre Terminal Disclaimer Request for Refund CD, Number of CD(s) | to G App of A App (Api (Api Pro Stai | eal Con ppeals eal Con peal Noti prietary tus Lette er Enclo htify belo | sure(s) (please | |
| - | SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
| | Firm or Individual name Signature Date Hollie L. Baker Hollie L. Baker | | | | | | |
| L | | | | | | | |
| 4 | CERTIFICATE OF TRANSMISSION/MAILING | | | | | | |
| : | I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | |
| ľ | Typed or printed name Marijke Abbes | | | | | | |
| | Signature | Majyke A | e Abbes | | | Dec.17,2003 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Fenteany et al.

Art Unit:

To be assigned

Patent Appl. No.:

10/706,877

Examiner:

To be assigned

Filing Date:

November 12, 2003

Title:

Lactacystin Analogs

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

CERTIFICATION UNDER 37 CFR § 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Vec.17, 2003

Date of Signature and of Mail Deposit

Mariike Abbes

PRELIMINARY AMENDMENT

Prior to examining the above-identified application, please amend the application as follows:

Amendment to the Specification

At page 1, immediately following the title, please insert the following header and paragraph:

STATEMENT AS TO FEDERALLY SPONSORED RESEARCH

This invention was made with support from the National Institute of Health (Grant No. GM38627). Accordingly, the U.S. government may have certain rights in the invention.